

## Public Accessibility of Financial Interests as Required by Public Health Service

If you have a question pertaining to a Financial Conflict of Interest, as defined by the National Institutes of Health, of an Investigator at the University of Kentucky you may request it using the guidance below.

Your request must meet the criteria established by the National Institutes of Health (NIH) related to public accessibility and must include the investigators.

The Institution will respond in writing within five business days of any request for information concerning Significant Financial Interests disclosed to the Institution if they meet the following three criteria:

1. The Significant Financial Interest was disclosed and is still held by the Investigator for the NIH-funded research project identified by the Institution in the grant application, progress report, or any other required report submitted to the NIH;
2. The Institution determines that the Significant Financial Interest is related to the NIH-funded research; and
3. The Institution determines that the Significant Financial Interest is a Financial Conflict of Interest.

Please note: If you submit a request which does not meet the above criteria, you will receive a written response within five business days indicating your request did not meet the NIH reporting requirements. Your request may not remain anonymous.

Please submit your request via email to [ospafcoi@uky.edu](mailto:ospafcoi@uky.edu) and include the name of the University of Kentucky investigator and the study title or nature of the research.

If your request meets the above criteria, the information that the Institution makes available via written response shall include, at a minimum, the information required by the regulation [42 CFR Part 50 Subpart F](#). The institution will provide:

- i. Investigator's name;
- ii. Investigator's title and role with respect to the research project;
- iii. Name of the entity in which the Significant Financial Interest is held;
- iv. Nature of the Significant Financial Interest; and
- v. Approximate dollar value of the Significant Financial Interest (dollar ranges are permissible: \$0-\$4,999; \$5,000-\$9,999; \$10,000-\$19,999; amounts between \$20,000-\$100,000 by increments of \$20,000; amounts above \$100,000 by increments of \$50,000) or a statement that the interest is one whose value cannot be readily determined through reference to public prices or other reasonable measures of fair market value.